

Certificate of Rehabilitation: Cleaning Up Felony Convictions when Expungement Is Not Available

The granting of a Certificate or Rehabilitation restores some of the rights of citizenship that were forfeited as a result of a felony conviction. It may also be used to relieve some persons convicted of sex offenses of their registration requirements (see California Penal Code (PC) § 290.5

(http://leginfo.legislature.ca.gov/faces/codes_displaySection.xhtml?sectionNum=290.5.&lawCode=PEN) for a list of offenses which are not relieved from registration). The granting of a Certificate of Rehabilitation also acts as an automatic application and recommendation for a pardon from the governor.

Templates and Forms

- Petition for Certificate of Rehabilitation and Pardon Form PDF (https://saclaw.org/wp-content/uploads/2023/04/cert-rehabform-1.pdf)
- Notice of Filing of Petition for Certificate of Rehabilitation and Pardon PDF (https://saclaw.org/wpcontent/uploads/2023/04/cert-rehab-form-2-1.pdf)

Receiving a Certificate of Rehabilitation serves as an official document to demonstrate a felon's rehabilitation; this may enhance the applicant's employment options, and may be considered by state licensing boards.

Related Guides on Similar Topics

- Sacramento County Superior Courts <u>Criminal Case Dismissal (Expungement) Process</u> (https://www.saccourt.ca.gov/criminal/docs/1203-4-dismissal-process.pdf)
- Understanding the Sacramento Superior Court Expungment Packet
 (https://www.saclaw.org/resource_library/expungement-cleaning-up-a-criminal-record-understanding-sacramento-courts-expungementpacket/)

It does not erase or seal the applicant's criminal record or allow the applicant to state on an employment application that he or she has no criminal convictions. Furthermore, it does not prevent the conviction from being considered for later convictions, for example, in sentence enhancements under the "three-strikes" law, and may be rescinded for subsequent criminal acts.

There is no filing fee to file the *Petition for Certificate of Rehabilitation and Pardon*, and if you are eligible to file, you are entitled to receive assistance from all rehabilitative agencies, including the adult probation officer of the county, state parole officers, and the youth authority (if you are under 30) (PC § 4852.04 (http://leginfo.legislature.ca.gov/faces/codes_displaySection.xhtml?lawCode=PEN§ionNum=4852.04.)). You may represent yourself, be represented by the attorney of your choosing, or by the public defender (PC § 4852.01(a) (http://leginfo.legislature.ca.gov/faces/codes_displaySection.xhtml?lawCode=PEN§ionNum=4852.01.)

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Step-by-Step Instructions

1. Obtain Your Criminal Records

To complete the forms, you will need information regarding each felony conviction that you have, including the date of the conviction, the specific charge or charges for which you were convicted, the county of the conviction, and the sentence that was given. In addition, you will need to know the date that you were released from prison or jail and/or discharged from parole or probation.

This information may be obtained through the court in which the conviction(s) took place, or you may obtain a copy of your state criminal record through the California Department of Justice. You may only obtain your own records from the Department of Justice. Information regarding this request may be obtained through the Office of the Attorney General Web site at the <u>California Department of Justice: Criminal Records – Request Your</u> <u>Own (https://oag.ca.gov/fingerprints/record-review)</u>. Regardless of the number of convictions, you will be filing only a single petition.

2. Confirm Your Eligibility

You are *ineligible* for a Certificate of Rehabilitation if any of the following are true:

Are you eligible for expungement?

• You were convicted only of misdemeanors (other than sex offenses defined in <u>PC § 290</u> (http://leginfo.legislature.ca.gov/faces/codes_displaySection.xhtml?sectionNum=290.5.&lawCode=PEN), which were subsequently expunged); and/or

Most misdemeanors and "wobblers" (felonies that could have been charged as misdemeanors) may be *expunged*. Expungement is easier and has more benefits than a Certificate of Rehabilitation. A recent change in the law, Prop. 47, added a number of non-violent felonies to this list. See the Sacramento Superior Courts guide <u>Criminal Case Dismissal (Expungement) Process (https://www.saccourt.ca.gov/criminal/docs/1203-4-dismissal-process.pdf)</u> if you think this may apply to you.

 Were convicted of any of PC §§ <u>286(c)</u> (http://leginfo.legislature.ca.gov/faces/codes_displaySection.xhtml?lawCode=PEN§ionNum=286.), <u>287</u> (https://leginfo.legislature.ca.gov/faces/codes_displaySection.xhtml?sectionNum=287.&nodeTreePath=4.8.5&lawCode=PEN), <u>288</u> (http://leginfo.legislature.ca.gov/faces/codes_displaySection.xhtml?lawCode=PEN§ionNum=288.), <u>288.5</u> (http://leginfo.legislature.ca.gov/faces/codes_displaySection.xhtml?lawCode=PEN§ionNum=288.5.), or <u>289 (j)</u> (http://leginfo.legislature.ca.gov/faces/codes_displaySection.xhtml?lawCode=PEN§ionNum=288.5.), or <u>289 (j)</u>



- Are serving mandatory life parole; and/or
- · Were sent to state prison under a death sentence, and/or
- Are currently in military service.

Assuming that none of the above criteria render you ineligible, the specific requirements for eligibility are listed in <u>PC § 4852.01</u> (http://leginfo.legislature.ca.gov/faces/codes_displaySection.xhtml?lawCode=PEN§ionNum=4852.01.), but are summarized below:

Release/Discharge Prior to May 13, 1943. You are eligible if you:

- Were convicted of a felony, and released from a California prison or other state institution; and
- Were discharged on completion of your sentence or released on parole prior to May 13, 1943; and
- Have not been incarcerated in a state prison or other state penal institution since release; and
- Have three years of residency in California immediately before filing the petition.

Expunged Felony or Misdemeanor Sex Offenses. You may apply for a Certificate of Rehabilitation if you:

- Were convicted of a felony or misdemeanor sex offense specified in <u>PC § 290</u> (http://leginfo.legislature.ca.gov/faces/codes_displaySection.xhtml?sectionNum=290.&lawCode=PEN), but subsequently expunged the conviction pursuant to <u>PC § 1203.4</u> (http://leginfo.legislature.ca.gov/faces/codes_displaySection.xhtml?lawCode=PEN§ionNum=1203.4.); and
- Have not been incarcerated since expungement; and
- Are not on probation for any other felony, and
- Have five years plus the additional period of rehabilitation specified in <u>PC § 4852.03</u> (http://leginfo.legislature.ca.gov/faces/codes_displaySection.xhtml?sectionNum=4852.03.&lawCode=PEN) of residency in California immediately prior to the filing of the petition:
- Two years (for a total of seven years) if convicted of PC §§ <u>311.2(a)-(d)</u> (http://leginfo.legislature.ca.gov/faces/codes_displaySection.xhtml?lawCode=PEN§ionNum=311.2.), <u>311.3</u> (http://leginfo.legislature.ca.gov/faces/codes_displaySection.xhtml?lawCode=PEN§ionNum=311.3.), <u>311.10</u> (http://leginfo.legislature.ca.gov/faces/codes_displaySection.xhtml?lawCode=PEN§ionNum=311.10.), or <u>314</u> (http://leginfo.legislature.ca.gov/faces/codes_displaySection.xhtml?lawCode=PEN§ionNum=311.10.),
- Five years (for a total of ten years) if convicted of any other offense requiring sex offender registration.

Convicted on or After May 13, 1943. You may be eligible for a certificate rehabilitation if you:

- Were in state prison on May 13, 1943 for a felony, or convicted of a felony after May 13, 1943, and served time in a state prison or other state institution or agency; and
- Have five years plus the additional period of rehabilitation specified in <u>PC § 4852.03</u> (<u>http://leginfo.legislature.ca.gov/faces/codes_displaySection.xhtml?sectionNum=4852.03.&lawCode=PEN</u>) of residency in California immediately prior to the filing of the petition:
- Four years (for a total of nine years) if you were convicted of violating PC §§ <u>187</u> (http://leginfo.legislature.ca.gov/faces/codes_displaySection.xhtml?lawCode=PEN§ionNum=187.), <u>209</u> (http://leginfo.legislature.ca.gov/faces/codes_displaySection.xhtml?lawCode=PEN§ionNum=209.), <u>219</u> (http://leginfo.legislature.ca.gov/faces/codes_displaySection.xhtml?lawCode=PEN§ionNum=219.), <u>4500</u> (http://leginfo.legislature.ca.gov/faces/codes_displaySection.xhtml?lawCode=PEN§ionNum=4500.), <u>18755</u> (http://leginfo.legislature.ca.gov/faces/codes_displaySection.xhtml?lawCode=PEN§ionNum=4500.), <u>18755</u>



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, or <u>California Military and Veterans Code § 1672(a)</u> (http://leginfo.legislature.ca.gov/faces/codes_displaySection.xhtml?lawCode=MVC§ionNum=1672.), or any other offense which carries a life sentence (<u>PC § 4852.03(a)(1)</u>

(http://leginfo.legislature.ca.gov/faces/codes_displaySection.xhtml?sectionNum=4852.03.&lawCode=PEN)
 Two years (for a total of seven years) for any other offense not described.

3. Complete the Required Forms

Instructions for completing the necessary forms are included at the end of this guide. Two forms are needed to petition for a Certificate of Rehabilitation. They may both be picked up free of charge from the Gordon D. Schaber Courthouse at <u>720 Ninth Street</u>, <u>Sacramento</u>, in <u>Room 102</u> (https://www.saccourt.ca.gov/locations/downtown.aspx)</u> at the criminal filing counter, or downloaded from these links.

- Petition for Certificate of Rehabilitation and Pardon (https://saclaw.org/wp-content/uploads/cert-rehab-form-1.pdf) (FORM 1)
- Notice of Filing of Petition for Certificate of Rehabilitation and Pardon (https://saclaw.org/wp-content/uploads/cert-rehabform-2.pdf) (FORM 2)

4. Make Copies of the Documents

Make two copies of the *Petition for Certificate of Rehabilitation and Pardon* (FORM 1) as well as the *Notice of Filing of Petition for Certificate of Rehabilitation and Pardon* (FORM 2).

Two-hole punch the top of the original, and staple each copy, and place the two copies underneath the original of each document.

5. File Your Documents

File your *Petition for Certificate of Rehabilitation and Pardon* (and two copies) and present your *Notice of Filing of Petition for Certificate of Rehabilitation and Pardon* (and two copies) at the criminal filing desk in Room 102 of the Courthouse at 720 Ninth Street. There is no filing fee for filing these documents. The court will assign a hearing date, time, and department, and note these on the *Notice of Filing of Petition for Certificate of Rehabilitation* and Pardon (FORM 2), or otherwise notify you of the hearing date.

The court clerk will stamp and return the copies of the *Petition* to you. Make additional copies of the filed *Petition for Certificate of Rehabilitation and Pardon* so that you have enough to provide the Governor's Office and each District Attorney with a copy.



6. Serve Notice of Filing of Petition for Certificate of Rehabilitation

You must notify (1) the Governor's Legal Affairs Office and (2) the District Attorney in each county where you were convicted of an offense for which you want a Certificate of Rehabilitation. They must be notified at least 30 days prior to the hearing. This is done by providing each person with a copy of the *Notice of Filing of Petition for Certificate of Rehabilitation and Pardon*.

Governor's Legal Affairs Office

Service to the Governor's Legal Affairs Office may be performed by email at pardons@gov.ca.gov or by regular mail. They do not accept in-person service.

- Email: Have someone over the age of 18 who is not a party to the case (*i.e.*, **not you**) email or mail a copy of the *Notice of Filing of Petition for Certificate of Rehabilitation and Pardon* (FORM 2), and preferably a courtesy copy of the *Petition*, to the office being served.
- Service by mail: To have the Governor's Office served by mail, have someone over the age of 18 who is not a party to the case (*i.e.*, not you), mail a completed copy of the *Notice of Filing of Petition for Certificate of Rehabilitation and Pardon* (FORM 2). As a courtesy, a copy of the *Petition* should be sent as well. Information about the server and the details of the mailing are written on the *Affidavit of Service by Mail* (page 2 of the *Notice*).

The person who served the *Notice & Petition* must then attest under the penalty of perjury that he or she mailed the documents as stated in the *Affidavit of Service by Mail* in front of a notary (that is, the server must sign the *Affidavit* in front of a notary). The notary then signs *Affidavit of Service by Mail*.) This allows the court to have confidence that the person who mailed the *Notice & Petition* is who they claim to be.

The address for mail service is:

Governor's Office Attn: Legal Affairs State Capitol Sacramento, CA 95814

District Attorney's Office:

Contact your DA's office to find out the best way to serve the papers on them. Having someone mail them to the DA's address and fill out and notarize the *Affidavit of Service* should work for any office; follow the instructions above.

If you were convicted of all crimes in Sacramento County, the address for mail service is:

Sacramento County District Attorney 901 G St.



Sacramento, CA 95814

7. File the Notice of Filing of Petition with Signed Proof of Service

Once the Governor's Office and the appropriate District Attorney(s) have been served, make two copies of the *Notice of Filing of Petition for Certificate of Rehabilitation and Pardon* and the attached *Affidavit of Service* and/or *Notice of Service in Person*, and file the original plus the two copies with the court at 720 Ninth Street, in Room 102. The court will stamp and return the copies.

8. Attend the Court Hearing

Make sure to attend the court hearing as the judge will make a determination as to whether to grant the Certificate of Rehabilitation. Be prepared to discuss in detail the aspects of your crime, and your life afterward. You may be represented by a private attorney of your choosing, the Public Defender's Office, or you may represent yourself. It is your responsibility to prove your reformation to the court.

9. Automatic Application for Governor's Pardon

If you are granted a Certificate of Rehabilitation, it serves as a recommendation for pardon. Your *Application* and *Certificate of Rehabilitation* will automatically be forwarded to the Governor's office for consideration of your request.

For help

Sacramento County Public Defender

700 H Street, Ste. 0270(916) 874-6411Services Provided: Assistance with Certificates of Rehabilitation

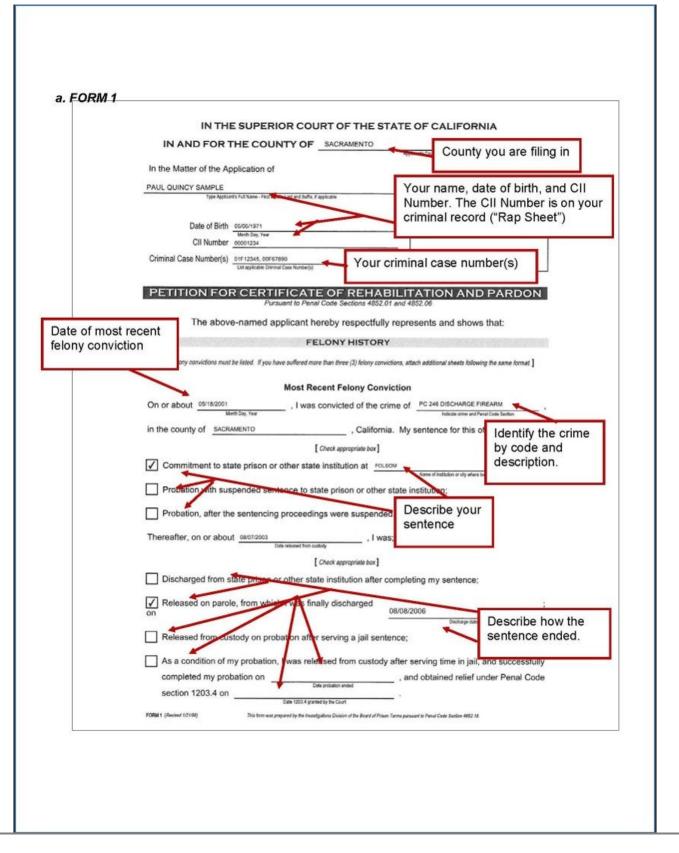


Samples

Petition for Certificate of Rehabilitation and Pardon



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Petition for Certificate of Rehabilitation and Pardon (page 1)



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Second Most Recent Felony Co		
On or about, I was convicted of the crim	ne of PC 460(a) BURGLARY Indicate crime and Penal Code Section	
in the county of SACRAMENTO , California.	My sentence for this offense was:	
[Check appropriate box]	If you had another	
Commitment to state prison or other state institution at	Name of institute CONVICTION, COMPLETE THIS	
Probation with suspended sentence to state prison or other st		
Probation, after the sentencing proceedings were suspended.	you completed the information regarding the	
Thereafter, on or about, I was;		
[Check appropriate box]	but with information	
Discharged from state prison or other state institution after con	mpleting my ser regarding your second most recent conviction.	
Released on parole, from which I was finally discharged		
on	8/06/2006 Discharge date	
Released from custody on probation after serving a jail senten	nce;	
As a condition of my probation, I was released from custody a	fter serving time in jail, and successfully	
completed my probation on	, and obtained relief under Penal Code	
Section 1203.4 on Date 1203.4 granted by the Court		
Third Most Recent Felony Con-		
On or about, I was convicted of the crime	e of , Indicate crime and Penal Code Section	
in the county of, California.	My sentence for this offense was:	
[Check appropriate box]	If you had another conviction	
Commitment to state prison or other state institution at	If you had another conviction, complete this section in the same	
Probation with suspended sentence to state prison or other st	way you completed the information	
Probation, after the sentencing proceedings were suspended.	regarding the most recent	
Thereafter, on or about, I was;	conviction, but with information regarding your third most recent	
[Check appropriate box]	conviction.	
Discharged from state prison or other state institution after cor		
Released on parole, from which I was finally discharged	If you had four or more felony convictions, you may continue these	
on	on additional pages using the same	
Released from custody on probation after serving a jail senter	terman i en eema aupneate une	
As a condition of my probation, I was released from custody a	second page, and change the number of the convictions to	
completed my probation on	"Fourth" and "Fifth" most recent	
Calle 1203.4 on	convictions.	
FORM 1 (Revised 1/21/88) This form was prepared by the Investigations Division of the Board of Prison Te		



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Petition for Certificate of Rehabilitation and Pardon (page 2)



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		RESIDENC	YHISTORY	
	I am now a resident of the State	of California, and I ha	ave continuously resided	in the State of California
	from 02/01/19/86 Month Day, Yes		to the present date.	State the date you
				most recently began
		APPLICANT'S	DECLARATION	residing in California.
	During the period of my rehability sobriety and industry, and exhibi of the land.	ation, I have lived an led good moral chara	honest and upright life, o acter. I have conformed	onducted myself with o and obeyed all the laws
	WHEREFORE, Your petitioner p petitioner has been rehabilitated; of the State of California grant pe the hearing of the foregoing petit	and for a Certificate titioner a full pardon;	of Rehabilitation recomm and that for such purpor	nending that the Governor
	premises.		Sign and date	inay be made in the
	Paul Quincy	Sample	~	10/28/2015
	Applicant's	Signature		Month Day, Year
	123 ELM AVENUE			
Your f	ull address			
	FORM 1 (Revised 1/2/108) This form was	spaced in the insulation	the Board of Prison Terms pursuant to Penal Coo	- Carlos 2017 20





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Petition for Certificate of Rehabilitation and Pardon (page 3)

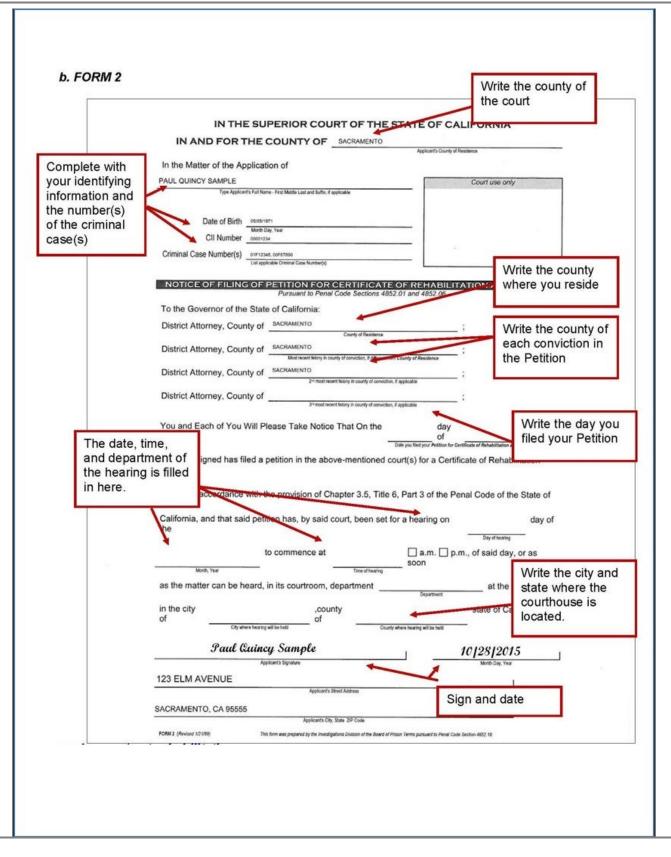


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Notice of Filing of Petition for Certificate of Rehabilitation



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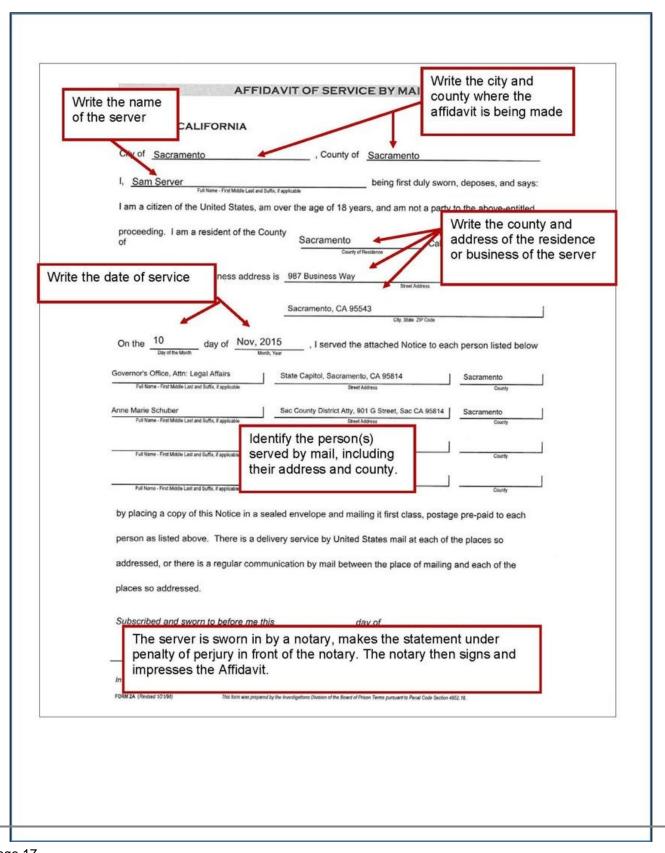


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Notice of Filing of Petition for Certificate of Rehabilitation (page 1)



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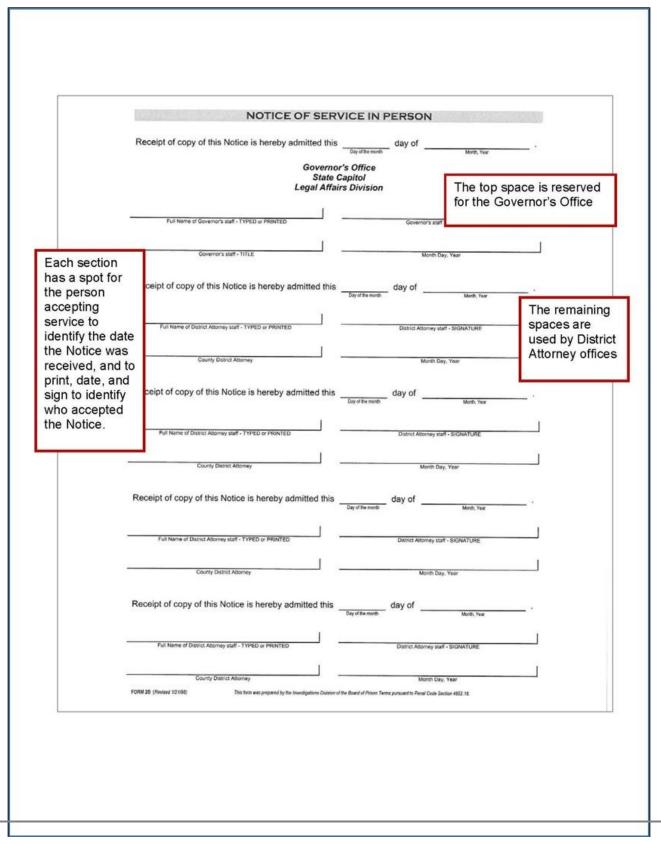


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Notice of Filing of Petition for Certificate of Rehabilitation (page 2)



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Notice of Filing of Petition for Certificate of Rehabilitation (page 3)